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PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Daniel W. Nebert : Paper No:
Serial No. 09/863,528 : Group Art Unit:
Filed: May 22, 2001 : Examiner:
For: **TRANSGENIC ANIMALS FOR MONITORING WATER QUALITY**

INFORMATION DISCLOSURE STATEMENT

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, Applicant herewith submits certain patent references and other information, which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references and information are provided below, and are also listed on the attached Form PTO-1449.

<u>PATENTS</u>	<u>INVENTOR</u>	<u>ISSUE DATE</u>
4,736,866	Leder, et al	April 12, 1988
4,800,159	Mullis, et al	January 24, 1989
4,870,009	Evans, et al	September 26, 1989
4,883,750	Whiteley, et al	November 28, 1989
4,965,188	Mullis, et al	October 23, 1990
5,176,995	Sninsky, et al	January 5, 1993
5,378,822	Bradfield, et al	January 3, 1995
5,441,884	Baum	August 15, 1995
5,737,018	Shimizu, et al	April 7, 1998
5,854,010	Denison, et al	December 29, 1998
6,110,693	Barak, et al	August 29, 2000
6,117,639	Germann, et al	September 12, 2000
6,133,027	Yee, et al	October 17, 2000
6,217,847	Contag, et al	April 17, 2001
6,232,107	Bryan, et al	May 15, 2001

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- Michael J. Carvan III, et al., "Transgenic Zebrafish as Sentinels for Aquatic Pollution," Arch Biochem Biophys 01; 28 pgs.
- Daniel W. Nebert, et al., "Gene-Swap Knock-In Cassette in Mice to Study Allelic Differences in Human Genes," Ann NY Acad Sci 01, 45 pgs.
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- Haasch, et al., "Cloned Rainbow Trout Liver P₁450 Complementary DNA as a Potential Environmental Monitor," Toxicology and Applied Pharmacology 98, 362-368 (1989).
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Joore, et al., "*Effects of retinoic acid on the expression of retinoic acid receptors during zebrafish embryogenesis*," Mechanisms of Development, Vol. 46, 137-150 (1994).

Jones, et al., "*New Retinoid X Receptor Subtypes in Zebra Fish (Danio rerio) Differentially Modulate Transcription and Do Not Bind 9-cis Retinoic Acid*," Molecular and Cellular Biology, 5226-5234 (1995).

Chang, et al., "*Axial (HNF3 β) and retinoic acid receptors are regulators of the zebrafish sonic hedgehog promoter*," The EMBO Journal, Vol. 16, No. 13, 3955-3964 (1997).

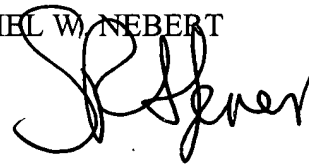
Payne, J.F., "*Field Evaluation of Benzopyrene Hydroxylase Induction as a Monitor for Marine Petroleum Pollution*," Science 191:945-946 (1976).

Copies of the listed references are provided. No representation is made or intended that a prior art search has been made or that no better art than the listed is available. It is respectfully requested that the information be considered by the Examiner and made of record in the present application.

The Assistant Commissioner for Patents is authorized to charge any deficiency or credit any overpayment to Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

DANIEL W. NEBERT

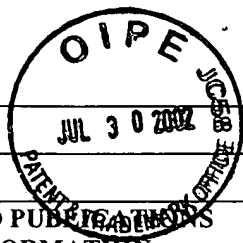


CERTIFICATE OF MAILING

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231 this 22nd day of July, 2002.


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PTO FORM 4/92													
FORM PTO-1449													
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT													
ATTY. DOCKET													
91830/476945													
SERIAL NO.													
09/863,528													
APPLICANT													
Daniel W. Nebert													
FILING DATE													
May 22, 2001													
GROUP													
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DOCUMENT NUMBER													
DATE													
NAME													
CLASS													
SUB CLASS													
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		5	7	3	7	0	1	8	April 7, 1998	Shimizu, et al	348	363	
		5	8	5	4	0	1	0	December 29, 1998	Denison, et al	435	8	
		6	1	1	0	6	9	3	August 29, 2000	Barak, et al	435	7.2	
		6	1	1	7	6	3	9	September 12, 2000	Germann, et al	435	6	
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		6	2	1	7	8	4	7	April 17, 2001	Contag, et al	424	9.1	
		6	2	3	2	1	0	7	May 15, 2001	Bryan, et al	435	189	
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	
OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)													
		Michael J. Carvan III, et al., "Transgenic Zebrafish as Sentinels for Aquatic Pollution," Arch Biochem Biophys 01; 28 pgs.											
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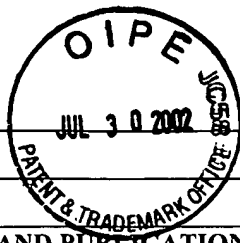
EXAMINER _____

DATE CONSIDERED _____

Page 1 of 3

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	ATTY. DOCKET	91830/476945	SERIAL NO.	09/863,528
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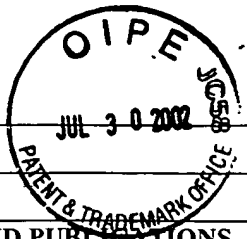
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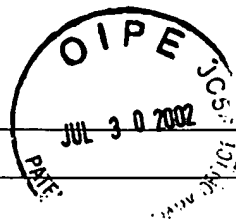
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